METHODOLOGY

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Probabilistic approach to modeling lava flow inundation: a lava flow hazard assessment for a nuclear facility in Armenia

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Abstract

Probabilistic modeling of lava flow hazard is a two-stage process. The first step is an estimation of the possible locations of future eruptive vents followed by an estimation of probable areas of inundation by lava flows issuing from these vents. We present a methodology using this two-stage approach to estimate lava flow hazard at a nuclear power plant site near Aragats, a Quaternary volcano in Armenia.

Keywords: lava flow simulation, modeling code, probabilistic hazard assessment, spatial density, Monte Carlo method, Armenia

Background

Volcanic hazard assessments are often conducted for specific sites, such as nuclear facilities, dams, ports and similar critical facilities that must be located in areas of very low geologic risk (Volentik et al 2009; Connor et al 2009). These hazard assessments consider the hazard and risk posed by specific volcanic phenomena, such as lava flows, tephra fallout, or pyroclastic density currents (IAEA 2011; Hill et al 2009). Although site hazards could be considered in terms of the cumulative effects of these various volcanic phenomena, a better approach is to assess the hazard and risk of each phenomenon separately, as they have varying characteristics and impacts. Here, we develop a methodology for site-specific hazard assessment for lava flows. Lava flows are considered to be beyond the design basis of nuclear facilities, meaning that the potential for the occurrence of lava flows above some level of acceptable likelihood would exclude the site from development of nuclear facilities because safe control or shutdown of the facility under circumstances of lava flow inundation cannot be assured (IAEA 2011).

This paper describes a computer model used to estimate the conditional probability that a lava flow will inundate a designated site area, given that an effusive eruption originates from a vent within the volcanic

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Spatial density estimation

Site-specific lava flow hazard assessments require that the hazard of lava inundation be estimated long before lava begins to erupt from any specific vent. In many eruptions, lavas erupt from newly formed vents, hence,



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around Aragats volcano. Details of each of these lava flows can be found in Table 1. The dashed red box identifies the boundaries of the lava flow simulation area. The Shamiram Plateau is an elevated region (within the central portion of the lava flow simulation area) comprising lava flows from Shamiram, Atomakhumb, Dashtakar, Blrashark, and Karmratar volcanoes. The ANPP site (black box) is located on the Shamiram Plateau. Photo shows the ANPP site and Atomakhumb volcano.

the potential spatial distribution of new vents must be estimated as part of the analysis. This is particularly important because the topography around volcanoes is often complex and characterized by steep slopes. Small variations in vent location may cause lava to flow in a completely different direction down the flanks of the volcano. Thus, probabilistic models of lava flow inundation are quite sensitive to models of vent location. Furthermore, many volcanic systems are distributed. Examples include monogenetic volcanic fields (e.g. the Michoacán-Guanajuato volcanic field, Mexico), distributed composite volcanoes which lack a central crater (e.g. Kirishima volcano, Japan), and volcanoes with significant flank activity (e.g. Mt. Etna, Italy). Spatial density estimates are also needed to forecast potential vent locations within such distributed volcanic systems (Cappello et al 2011).

In addition, loci of activity may wax and wane with time, such that past vent patterns may not accurately forecast future vent locations (Condit and Connor 1996). Thus, it is important to determine if temporal patterns are present in the distribution of past events, so that an appropriate time interval can be selected for the analysis (*i.e.*, use only those vents that represent likely future patterns of activity, not older vents that may represent past patterns).

Kernel density estimation is a non-parametric method for estimating the spatial density of future volcanic events based on the the locations of past volcanic events (Connor and Connor 2009; Kiyosugi et al 2010; Bebbington and Cronin 2010). Two important parts of the spatial density estimate are the kernel function and its band*width*, or smoothing parameter. The kernel function is a probability density function that defines the probability of future vent formation at locations within a region of interest. The kernel function can be any positive function that integrates to one. Spatial density estimates using kernel functions are explicitly data driven. A basic advantage of this approach is that the spatial density estimate will be consistent with known data, that is, the spatial distribution of past volcanic events. A potential disadvantage of these kernel functions is that they are not inherently sensitive to geologic boundaries. If a geologic boundary is known it is possible to modify the density estimate with data derived from field observations and mapping. Connor et al (2000) and Martin et al (2004) discuss various methods of weighting density estimates in light of geological or geophysical information, in a manner similar to Ward (1994). A difficulty with such weighting is the subjectivity involved in recasting geologic observations as density functions.

A two-dimensional radially-symmetric Gaussian kernel for estimating spatial density is given by Silverman (1978); Diggle (1985); Silverman (1986); Wand and Jones (1995):

$$\hat{\lambda}(\mathbf{s}) = \frac{1}{2\pi h^2 N} \sum_{i=1}^{N} \exp\left[-\frac{1}{2} \left(\frac{d_i}{h}\right)^2\right]$$
(1)

The local spatial density estimate, $\hat{\lambda}(\mathbf{s})$, is based on N total events, and depends on the distance, d_i , to each event location from the point of the spatial density estimate, \mathbf{s} , and the smoothing bandwidth, h. The rate of change in spatial density with distance from events depends on the size of the bandwidth, which, in the case of a Gaussian kernel function, is equivalent to the variance of the kernel. In this example, the kernel is radially symmetric, that is, h is constant in all directions. Nearly all kernel estimators used in geologic hazard assessments have been of this type (Woo 1996; Stock and Smith 2002; Connor and Hill 1995; Condit and Connor 1996). The bandwidth is selected using some criterion, often visual smoothness of the resulting spatial density plots, and the spatial density function is calculated using this bandwidth. A two-dimensional elliptical kernel with a bandwidth that varies in magnitude and direction is given by Wand and Jones (1995),

$$\hat{\lambda}(\mathbf{s}) = \frac{1}{2\pi N \sqrt{|H|}} \sum_{i=1}^{N} \exp\left[-\frac{1}{2}\mathbf{b}^{T}\mathbf{b}\right]$$

where,

$$\mathbf{b} = \mathbf{H}^{-1/2}\mathbf{x}.$$

Equation 1 is a simplification of this more general case, whereby the amount of smoothing by the bandwidth, *h*, varies consistently in both the N-S and E-W directions. The bandwidth, **H**, on the other hand, is a 2 \times 2 element matrix that specifies two distinct smoothing patterns, one in a N-S trending direction and another in an E-W trending direction. This bandwidth matrix is both positive and definite, important because the matrix must have a square root. |**H**| is the determinant of this matrix and **H**^{-1/2} is the inverse of its square root. **x** is a 1 \times 2 distance matrix (*i.e.* the *x*-distance and *y*-distance

from **s** to an event), **b** is the cross product of **x** and $\mathbf{H}^{-1/2}$, and \mathbf{b}^T is its transform. The resulting spatial density at each point location, s, is usually distributed on a grid that is large enough to cover the entire region of interest. Bandwidth selection is a key feature of kernel density estimation (Stock and Smith 2002; Connor et al 2000; Molina et al 2001; Abrahamson 2006; Jaquet et al 2008; Connor and Connor 2009), and is particularly relevant to lava flow hazard studies. Bandwidths that are narrow focus density near the locations of past events. Conversely, a large bandwidth may over-smooth the density estimate, resulting in unreasonably low density estimates near clusters of past events, and overestimate density far from past events. This dependence on bandwidth can create ambiguity in the interpretation of spatial density if bandwidths are arbitrarily selected. A further difficulty with elliptical kernels is that all elements of the bandwidth matrix must be estimated, that is the magnitude and direction of smoothing in two directions. Several methods have been developed for estimating an optimal bandwidth matrix based on the locations of the event data (Wand and Jones 1995), and have been summarized by Duong (2007). Here we utilize a modified asymptotic mean integrated squared error (AMISE) method, developed by Duong and Hazelton (2003), called the SAMSE pilot bandwidth selector, to optimally estimate the smoothing bandwidth for our Gaussian kernel function. These bandwidth estimators are found in the freely available R Statistical Package (Hornik 2009; Duong 2007). Bivariate bandwidth selectors like the SAMSE method are extremely useful because, although they are mathematically complex, they find optimal bandwidths using the actual data locations, removing subjectivity from the process. The bandwidth selectors used in this hazard assessment provide global estimates of density, in the sense that one bandwidth or bandwidth matrix is used to describe variation across the entire region.

Given that spatial density estimates are based on the distribution of past volcanic events, existing volcanic vents within a region and time period of interest first need to be identified and located. This compilation is then used as the basis for estimating the probability of the opening of new vents within a region. Our lava flow hazard assessment method is concerned with the likelihood of the opening of new vents that erupt lava flows. Such vents may form when magma first reaches the surface, forming a new volcano, or may form during an extended episode of activity, whereby multiple vents may form while an eruptive episode continues over some period of time, generally months to years (Luhr and Simkin 1993), and the locus of activity shifts as new dikes are injected into the shallowest part of the crust. Therefore, for the purposes of this study, an event is defined as the opening of a new vent at a new location during a new

episode of volcanic activity. Multiple vents formed during a single episode of volcanism are not simulated.

Numerical Simulation of Lava flows

On land, a lava flow is a dynamic outpouring of molten rock that occurs during an effusive volcanic eruption when hot, volatile-poor, relatively degassed magma reaches the surface (Kilburn and Luongo 1993). These lava flows are massive volcanic phenomena that inundate areas at high temperature (> 800°C), destroying structures, even whole towns, by entombing them within meters of rock. The highly destructive nature of lava flows demands particular attention when critical facilities are located within their potential reach.

The area inundated by lava flows depends on the eruption rate, the total volume erupted, magma rheological properties, which in turn are a function of composition and temperature, and the slope of the final topographic surface (Dragoni and Tallarico 1994; Griffiths 2000; Costa and Macedonio 2005). Previous studies have modeled the physics of lava flows using the Navier-Stokes equations and simplified equations of state (Dragoni 1989; Del Negro et al 2005; Miyamoto and Sasaki 1997). Other studies have concentrated on characterizing the geometry of lava flows, and studying their development during effusive volcanic eruptions (Walker 1973; Kilburn and Lopes 1988; Stasiuk and Jaupart 1997; Harris and Rowland 2009). These morphological studies are mirrored by models that concentrate on the areal extent of lava flows, rather than their flow dynamics. These models generally abstract the highly complex rheological properties of lava flows using geometric terms and/or simplified cooling models (Barca et al 1994; Wadge et al 1994; Harris and Rowland 2001; Rowland et al 2005).

A new lava flow simulation code, written in PERL, was created to assess the potential for site inundation by lava flows, similar, in principle, to areal-extent models. This lava flow simulation tool is used to assess the probability of site inundation rather than attempting to model the complex real-time physical properties of lava flows. Since the primary physical information available for lava flows is their thickness, area, length and volume, this model is guided by these measurable parameters and not directly concerned with lava flow rates, their fluid-dynamic properties, or their chemical makeup and composition. The purpose of the model is to determine the conditional probability that flow inundation of a site will occur, given an effusive eruption at a particular location estimated using the spatial density model discussed previously.

A total volume of lava to be erupted is set at the start of each model run. The model assumes that each cell inundated by lava retains or accumulates a residual amount of lava. The residual must be retained in a cell before that cell will pass any lava to adjacent cells. This residual corresponds to the modal thickness of the lava flow. Lava may accumulate in any cell to amounts greater than this residual value if the topography allows pooling of lava. As flow thickness varies between lava flows, the residual value chosen for the flow model also varies from simulation to simulation. Here, our term residual corresponds to the term adherence, used in codes developed by Wadge et al (1994) and Barca et al (1994). In our case, residual lava does not depend on temperature or underlying topography, but rather, is used to maintain a modal lava flow thickness. Lava flow thicknesses, measured within the site area, are fit to a statistical distribution which is sampled stochastically in order to choose a residual (i.e. modal thickness) value for each realization. Lava flow simulation requires a digital elevation model (DEM) of the region of interest. One source of topographic DEM data is the Shuttle RADAR Topography Mission (SRTM) database. The 90-meter grid spacing of SRTM data limits the resolution of the lava flow. Topographic details smaller than 90 m can influence flow path, but these cannot be accounted for using a 90-m DEM. A more detailed DEM could provide enhanced flow detail, but a decrease in DEM grid spacing increases the total number of grid cells, thus increasing computation time as the flow has to pass through an increasing number of grid cells. A balance needs to be maintained between capturing important flow detail over the topography and limiting the overall time required to calculate the full extent of the flow. Critical considerations for grid spacing are the topography of the site area and the volumes and flow rates of local lava flows. Lava flows erupted at high rate or high viscosity would quickly overwhelm surrounding topography, so in these cases a coarse 90-m DEM may be sufficient for flow modeling. For low flow rates or low viscosities, lava flows would meander around smaller topographic features which would be unresolved in a coarse 90-m DEM. Therefore, in these cases a higher resolution DEM would be necessary to achieve credible model results. In our study, a 90-m DEM was considered adequate due to the unavailability of information regarding lava flow rates in the area and assumed higher flow rates based on flow geometries measured in the field. Also, the boundaries of the plateau on which the ANPP site is located was determined to be adequately resolved by a 90-m DEM.

A simple algorithm is used to distribute the lava from a source cell to each of its adjacent cells once the residual of lava has accumulated. Adjacent cells are defined as those cells directly north, south, east and west of a source cell. For ease of calculation, volumes are changed to thicknesses. Cells that receive lava are added to a list of *active* cells to track relevant properties regarding cell state, including: location within the DEM, current lava thickness, and initial elevation. Active cells have one

parent cell, from which they receive lava, and up to 3 *neighbor* cells which receive their excess lava. A cell becomes a neighbor only if its effective elevation (*i.e.* lava thickness + original elevation) is less than its parent's effective elevation. If an active cell has neighbors, then its excess lava is distributed proportionally to each neighbor based on the effective elevation difference between the active cell and each of its neighbors. Lava distribution can be summarized with the following equation:

$$L_n = X_a D_n / T \tag{3}$$

where L_n refers to the lava thickness in meters received by a neighbor, X_a is the excess lava thickness an active cell has to give away. D_n is the difference in the effective elevation between an active cell and a neighboring cell, $D_n = E_a$ - E_n , where E_a refers to the effective elevation of the active cell and E_n refers to the effective elevation of an adjacent neighbor. The effective elevation is defined as the thickness of lava in a cell plus its original elevation from the DEM. *T*, is the total elevation difference between an active cell and all of its adjacent neighbors, 1 - N,

$$T = \sum_{n=1}^{N} D_n.$$

Iterations continue until the total flow volume is depleted. Some example lava flows simulated in this fashion are shown in Figure 2.

Lava flow hazard at the Armenian nuclear power plant site

Lava flows are a common feature of the Armenian landscape. Some mapped flows are highlighted in Figure 2. A group of 18 volcanic centers comprise an area known as the Shamiram Plateau (this area is located within the red box in Figure 1). The Armenian nuclear power plant (ANPP) site lies within this comparatively dense volcanic cluster at the southern margin of the Shamiram Plateau. Our lava flow hazard assessment is designed to assess the conditional probability that lava flows reach the boundary of the site area, given an effusive eruption on the Shamiram Plateau. In addition, large-volume lava flows are found on the flanks of Aragats volcano, a 70-km-diameter basalt-trachyandesite to trachydacite volcano located immediately north of the Shamiram Plateau.

The mapped lava flows on the Shamiram Plateau can be divided into two age groups, pre-ignimbrite lava flows that range in age from approximately 0.91-1.1 Ma, and post-ignimbrite lava flows that cover the ignimbrites of Aragats volcano. The youngest features of Aragats Volcano are large volume lava flows from two cinder cones, Tirinkatar (0.45 Ma) and Ashtarak (0.53 Ma). All of these age determinations are based on K-Ar dating by Chernyshev et al (2002). The youngest small-volume lava flows of the Shamiram Plateau are the Dashtakar group of cinder cones, based on borehole evidence indicating that the Dashtakar flows overlay one of these ignimbrites of Aragats.

Lava flows of the Shamiram Plateau are typical of monogenetic fields, being of comparatively low volume, generally < 0.03 km³, and short total length, generally < 5 km. Based on logging data from four boreholes and including the entire area of the Shamiram Plateau and estimated thickness of the lava pile, the total volume of lava flows making up the plateau is ~11-24 km³. Given these values, hundreds of individual lava flows comprise the entire plateau. Thus, there is a possibility that lava flows will inundate the site in the future, associated with the eruption of monogenetic volcanoes on the Shamiram Plateau, should such eruptions occur.

Mapped lava flows of the Shamiram Plateau are volume-limited flows (Kilburn and Lopes 1988; Stasiuk and Jaupart 1997; Harris and Rowland, 2009), trachyandesite to trachydacite in composition. Lengths range from 1.4 km, from Shamiram volcano, to 2.49 km from Blrashark volcano; volumes range from 3×10^{-3} km³, from Karmratar volcano, to 2.3×10^{-2} km³ from Atoma-khumb volcano (Table 1).

Volume-limited flows occur when small batches of magma reach the surface and erupt for a brief period of time, forming lava flows associated with individual monogenetic centers. These eruptions often occur in pulses and erupting vents may migrate a short distance, generally < 1 km, during the eruption. Each pulse of activity in the formation of the monogenetic center may produce a new individual lava flow, hence, constructing a flow field over time. The longest lava flows in these fields are generally those associated with the early stages of the eruption, when eruption rates are greatest (Kilburn and Lopes, 1988). Within the Shamiram Plateau area, individual monogenetic centers have one (*e.g.* Shamiram volcano) to many (*e.g.* Blrashark volcano) individual lava flows.

Longer lava flows are also found on Aragats volcano, especially higher on its flanks (Table 1). These summit lavas comprise a thick sequence of trachyandesites and trachydacites having a total volume > 500 km³. The most recent lava flows from the flanks of Aragats include Tirinkatar, which is separated into two individual trachybasalt flows Tirinkatar-1 and Tirinkatar-2, and the Ashtarak lava flow. Tirinkatar-1 and Ashtarak each have volumes ~0.5 km³. The largest volume flank lava flows are part of the trachydacitic Cakhkasar lava flow of Pokr Bogutlu volcano, with a total volume ~18 km³, on the same order as the largest historical eruptions of lava flows worldwide (Thordarson and Self 1993). These larger volume lava flows are effusion rate-limited, since the



regions) are erupted from vents (black dots) that are randomly sampled from a spatial density model of vents on the Shamiram Plateau. Flowpath follows the DEM. The site area is considered to be inundated if the lava flow intersects the white rectangle. In this example, two of the ten lava flows intersect the site and one vent falls with the site boundaries.

length of the lava flow is controlled by the effusion rate at the vent. The lengths of the Ashtarak and Tirinkatar-1 lava flows exceed 20 km. Based on comparison with observed historical eruptions, their effusion rates were likely on the order of $100 \text{ m}^3 \text{ s}^{-1}$ (Walker, 1973; Malin 1980; Kilburn and Lopes, 1988; Harris and Rowland, 2009). Thus, while volume-limited flows erupt on the Shamiram Plateau in the immediate vicinity of the site,

effusion rate-limited flows erupt at higher elevations on the flanks of Aragats volcano. While it is conceivable that these larger volume flows may reach the site because of their great potential length, this event is less likely because their occurrence is so infrequent. Another deterrent is the fact that the Shamiram plateau acts as a topographic barrier to these longer, larger flows reaching the ANPP site. Each class of lava flows, smaller volume-limited

Table 1 Size estimates of lava flows

Volcano (source)	Area (km²)	Thickness (m)	Volume (km ³)	Length (km)	Composition
Arich	16.3	8	0.130	9.48	TB ¹ , BTA ¹
Atomakhumb	3.9	6	0.023	3.43	BA ¹ , BTA
Barcradir(Bartsradir)	32.9	9	0.296	12.10	TB, BTA
Bazmaberd	13.1	14	0.184	6.34	BA, BTA
Blrashark	1.6	6	0.010	2.49	TA ¹ , TD ¹
Blrashark	2.5	7	0.018	3.13	TA, TD
Bolorsar	2.2	6	0.013	2.72	ΒΤΑ, ΤΑ
Dashtakar	2.1	10	0.021	4.44	BA, BTA
Dashtakar	1.6	6	0.009	3.66	BA, BTA
Karmratar	0.7	4	0.003	3.61	ТА
Mets Mantash	8.9	9	0.080	8.47	TB, BTA
Shamiram	1.0	4	0.004	1.41	ТА
Siserasar	0.8	11	0.009	1.72	TA
Tirinkatar-2	13.3	4	0.053	6.54	BTA, BA
Topqar(Topkar)	2.9	9	0.026	3.07	ΒΤΑ, ΤΑ
Ashtarak	84	6	0.50	26.50	BA, BTA
Irind	66	55	3.65	20.53	Dacite
Paros	109	8	0.87	33.36	TB, BTA
Tirinkatar-1	75	7	0.53	26.36	BTA, BA
Pokr Bogutlu	165	110	18.18	27.92	TD

¹Note: TB (trachybasalt), BTA (basalt-trachyandesite),

(Cakhkasar)

BA (basaltic-andesite),TA (trachyandesite), TD (trachydacite)

The volcanic rock nomenclature follows the one of Le Bas et al (1986)

Size estimates for some lava flows associated with monogenetic vents of the Shamiram Plateau and elsewhere on the flanks of Aragats volcano. The input parameters for the lava flow simulations were based on the observed characteristics of the smaller-volume flows. Volcanoes located within the area of the Shamiram Plateau appear in italic font. Size estimates for the 5 largest lava flows on the flanks of Aragats volcano are listed last.

flows and larger effusion rate-limited flows, is considered separately when assessing lava flow hazard at the ANPP site.

Results and Discussion

Using spatial density estimation

Locating the source region of erupting lava is critical in determining the area inundated by a lava flow. Probable source regions are estimated using a spatial density model, which in turn depends on a geological map identifying the locations of past eruptive vents. In this context, volcanic vents are defined as the approximate locations where magma has or may have reached the surface and erupted in the past. A primary difficulty in using a data set of the distribution of volcanic vents is determination of independence of events. In statistical parlance, independent events are drawn from the same statistical distribution, but the occurrence of one event does not influence the probability of occurrence of another event. We are interested in constructing a spatial density model only using independent events. Unfortunately, it is difficult to determine from mapping and stratigraphic analysis if vents formed during the same eruptive episode or occurred as independent events during different volcanic eruptions. Some of these are easily recognized (*e.g.* boccas that are located adjacent to scoria cones). In other cases, it is uncertain if individual volcanoes should be considered to be independent events, or were in reality part of the same event. Because of this uncertainty, alternative data sets are useful when estimating the spatial density. Here, we use one data set to maximize the potential number of volcanic events: all mapped vents are included in the data set as independent events. An alternative data set could consider volcanic events to be comprised of groups of volcanic vents that are closely spaced and not easily distinguished stratigraphically.

In order to apply the spatial density estimate, it is assumed that 18 mapped volcanic centers represent the potential distribution of future volcanic vents on the Shamiram Plateau. Some older vents are no doubt buried by subsequent volcanic activity. It is also possible that older vents are buried in sediment of the Yerevan basin, south of the ANPP site.

Using a data set that includes 18 volcanic events mapped on the Shamiram Plateau (Table 2), the SAMSE selector yields the following optimal bandwidth matrix

Table 2 Volcanic vents mapped on the Shamiram Plateau

Easting	Northing
425507	4449732
425649	4449144
425992	4449400
425053	4449362
428682	4452894
429363	4452946
429504	4452711
429931	4452251
427322	4449676
427383	4449840
427835	4450008
428332	4444255
427386	4454344
427538	4453062
430618	4442102
427623	4452343
426857	4451520
425285	4454652

The location of 18 volcanic events used in the spatial density analysis of future volcanism on the Shamiram Plateau, units are UTM meters. These vent locations are used to determine a closer-to-optimal data-driven bandwidth.

and corresponding square root matrix:

$$\mathbf{H} = \begin{bmatrix} 0.84 & -0.01 \\ -0.01 & 2.1 \end{bmatrix} \quad \sqrt{\mathbf{H}} = \begin{bmatrix} 0.92 & -0.005 \\ -0.005 & 1.5 \end{bmatrix}$$
(4)

In equation 4, the upper left and lower right diagonal elements represent smoothing in the E- W and N-S directions, respectively. The \sqrt{H} indicates an actual E-W smoothing distance of 920 m and a N-S smoothing distance of 1500 m. A N-S ellipticity is reflected in the overall shape of the bandwidth (Figure 3). The resulting spatial density map is contoured in Figure 4.

A grid-based flow regime

The SRTM database from CGIAR-CSI (the Consultative Group on International Agricultural Research-Consortium for Spatial Information) is used as a model of topographic variation on the Shamiram Plateau and adjacent areas. This consortium (Jarvis et al, 2008) has improved the quality of SRTM digital topographic data by further processing version 2 (released by NASA in 2005) using hole-filling algorithms and auxiliary DEMs to fill voids and provide continuous topographical surfaces. For the lava flow simulation, these data are converted to a UTM Zone 38 N projection, using the USGS program, PROJ4, and re-sampled at a 100 × 100 m grid spacing, using the mapping program GMT. In the model, lava is distributed from one 100 m² grid cell to its adjacent grid cells.

The region that was chosen for the lava flow model is identified in Figure 1 (red-dashed box). Within this area a new vent location is randomly selected based on a



spatial density model of 18 events clustered within and around the Shamiram Plateau (Figure 4). The model simulates a flow of lava from this new vent location onto the surrounding topography. The total volume of lava to be erupted is specified at the onset of a model run. Lava is added incrementally to the DEM surface at the vent location until the total specified lava flow volume is reached. At each iteration, 10^5 m^3 is added to the grid cell at the location of the vent (source) and is distributed over adjoining grid cells. Given that a grid cell is 100 m², this corresponds to adding a total depth of 10 m to the vent cell at each iteration.

The lava flow simulation is not intended to mimic the fluid-dynamics of lava flows, so these iterations are only loosely associated with time steps. For example, volume-limited lava flows of the Shamiram Plateau are generally < 5 km in length, with volumes on the order of $0.3 - 2.3 \times 10^{-2}$ km³. These volumes and lengths agree well with lavas from compilations by Malin (1980) and Pinkerton and Wilson (1994). For such lava flows, effusion rates of 10 - 100 m³ s⁻¹ are expected (Harris and Rowland, 2009). Using these empirical relations, an iteration adding a volume of

 $10^5~{\rm m}^3$ of lava corresponds to an elapsed time of 10^3 - $10^4{\rm s}.$ Lava is distributed to adjacent cells only at each iteration, so this effusion rate corresponds to flow-front velocity on the order of 0.01 - 0.1 ${\rm ms}^{-1}$, in reasonable agreement with observations of volume-limited flow-front velocities.

Parameter estimation for Monte Carlo simulation

Many simulations are required to estimate the probability of site inundation by lava. Lava flow paths are significantly affected by the large variability in possible lava flow volumes, lava flow lengths, and complex topography. A computing cluster is used to execute this large number of simulations in a timely manner. Based on the volumes of some lava flows measured within and surrounding the Shamiram Plateau (Table 1), the range of flow volumes for the simulated flows was determined to be log-normally distributed, with a log(mean) of 7.2 ($10^{7.2}$ m³) and a log (standard deviation) of 0.5. Based on these observations,



the lava flow code stochastically chooses a total erupted lava volume from a truncated normal distribution with a mean of 7.2, a standard deviation of 0.5, and truncated at \geq 6 and \leq 9 (Table 3)). This range favors eruptions with smaller-volume flows, but also allows rare, comparatively larger-volume flows.

The input parameters to the lava flow code that are used to estimate the probability of inundation of the site are shown in Table 4. The boundary of the ANPP site is taken as a rectangular area, 2.6 km². For the purposes of the simulation, it is assumed that if a lava flow crosses this perimeter, the site is inundated by lava. The lava flow simulation is based on the eruption of one lava flow, or cooling unit, from each vent. Based on the distribution of flow thickness values from 15 observed lava flows, within and surrounding the Shamiram Plateau, the code stochastically chooses a value for modal lava flow thickness from a truncated normal distribution having a mean of 7.0 m, a standard deviation of 3.0 m, and truncated at \geq 4 m and \leq 15 m (Figure 5). Lava residual is the amount of lava retained in each active cell, and is directly related to the modal thickness of the lava flow.

In reality, more than one lava flow may erupt during the course of formation and development of a single monogenetic volcano. However, the first lava flow to form during this eruption will tend to have the longest length and greatest potential to inundate the ANPP site. Experiments were conducted to simulate the formation of multiple (up to 10) lava flows from a single vent, or group of closely spaced vents. It was determined that the later lava flows tend to broaden the flow field, but not lengthen it. This result is in agreement with

Table 3 Lava flow simulation input parameters

Parameter	Range	Notes
ANPP site boundary		Boundaries used in analysis
East (km)	428.2	
West (km)	426.0	
North (km)	4449.0	
South (km)	4447.0	
Lava thickness (m)	4-15	Truncated normal distribution;
		Mean = 7.0 m
		Standard Dev. = 3.0 m
Lava flow volume (m ³)	10 ⁶ -10 ⁹	Truncated normal distribution;
		(log)Mean = 7.2
		(log)Standard Dev. = 0.5
Iteration volume	10 ⁵	Lava volume added at source
		vent in each iteration
Number of simulations	10 000	

Input parameters used in the Monte Carlo simulation of lava flow inundation of the ANPP site by flows originating on or near the Shamiram Plateau. Flow thickness and volume are based on observed thicknesses and volumes of lava flows located on and surrounding the Shamiram Plateau. A probability distribution is assigned to each of these two parameters based on the binned distribution of measured observations (Figure 5).

Table 4 Configuration file for lava flow simulation of vents on the Shamiram Plateau

Parameter = Value	Explanation
Inputs	
DEM_SOUTH = 4440	N, S, E, W
DEM_NORTH = 4470	boundaries
DEM_EAST = 440	of the DEM
$DEM_WEST = 410$	
$DEM_SPACING = 0.1$	DEM grid spacing (km)
$DEM_FILE = file$	(ASCII format) rows of elevation values (masl)
$RESIDUAL_AV = 8.0$	Lava thickness (m): Average
$RESIDUAL_SD2 = 1.0$	Standard Deviation
	(higher value=higher lava viscosity)
ERUPTED_LAVA = 1e5	Volume of lava distributed
	per iteration or pulse (m^3)
TOTAL_LAVA_AV = 1e7	Lava volume (m ³): Average
TOTAL_LAVA_SD2 = 0.5	Standard Deviation
FLOWS = 1	Number of lava flows to simulate per run
RUNS = 10 000	Number of lava flow runs (for statistical analysis)
$AOI_WEST = 426.0$	Area of interest
$AOI_EAST = 428.2$	
AOI_SOUTH = 4447.8	
AOI_NORTH = 4449.0	
$SPATIAL_DENSITY_FILE = file$	X Y Z format, grid of spatial density
	values for the potential of volcanism
SPATIAL_DENSITY_SPACING=.1	spacing of spatial density grid (km)

Configuration file for simulated lava flows. The format of this ASCII file is parameter = value. The shown values reflect the range of values used for the lava flow hazard assessment on the Shamiram Plateau.

observations of lava flow field development on Mt. Etna (Kilburn and Lopes, 1988). For the ANPP site, the conditional probability of site inundation was sensitive to lava flow length, but insensitive to broadening of the lava flow field. Therefore, only one lava flow was simulated per eruptive vent. Nevertheless, for some sites the potential for broadening the area of inundation by successive flows may be an important factor.

Simulation results

A total of 10 000 simulations were executed in order to estimate the probability of lava flow inundation resulting from the formation of new monogenetic vents on the Shamiram Plateau. Out of 10 000 events, 2485 of the simulated flows crossed the perimeter of the site, or 24.9% percent of the total number of simulations.

The distribution of simulated vent locations for the lava flow simulation is shown in Figure 6. Lava flows erupting from the central part of the Shamiram Plateau, up to 6 km north of the ANPP site, have a much greater potential of inundating the site area than lava flows originating from south, east, or west of the site. The central part of the



Figure 5 Fistograms showing lava how thickness, volume, and log(volume). Histograms showing the ranges of observed and simulated lava flow thickness, volume, and log(volume). Black bins characterize 15 observed lava flows. Flow thickness follows a normal distribution and volume follows a log-normal distribution. These field observations are summarized in Table 1. Red bins characterize 10 000 flow thicknesses, randomly selected from a truncated normal distribution with a mean of 7 and a standard deviation of 3, truncated above 4 m and below 15 m. Similarly, flow volumes, were generated by random selection of their logarithms from a truncated normal distribution with a mean of 7.2 and a standard deviation of 0.5, truncated above 6 and below 9 (Table 3). These plots show that the distributions chosen for the Monte Carlo simulation reasonably match the range of observed values.

Shamiram Plateau is the most likely location of future eruptions, based on the spatial density analysis. Substantial topographic barriers to the south, east, and west block lava flows from inundating the site from these directions, and the probability of vent formation in these locations is much lower.

In order to test model validity against available geologic data from the region, a comparison was made of measured thickness, area, and log(volume) versus lava flow length for each observed lava flow (Figure 7). The same comparison was made for each simulated lava flow. Lava flow length for each flow, simulated and observed, was calculated as follows. First, the lava flow mid-point was estimated along E-W line segments drawn across the flow at regular intervals. The distance between these mid-points was summed along the N-S extent of the lava flow. Second, the same procedure was used but midpoints were calculated along N-S line segments and the distance between mid-points was summed along the E-W direction. The longer of the two distances was taken to be the length of the lava flow. This method provided an objective comparison between observed and simulated flow lengths. As shown in Figure 7, the simulated lava flow volumes, thicknesses, and areal extents all fall within the ranges of values measured in the field.

Larger-volume lava flows were simulated for flank eruptions of Aragats volcano. For these simulations a trachyandesite to trachybasalt composition was assumed. This flow regime mimics a effusion rate-limited lava flows, with lava thicknesses (or lava residuals) ranging from approximately 6-9 m. This flow geometry is consistent, for example, with the Tirinkatar-1, Ashtarak, and Paros lava flows. The total volumes of these simulated flows range from approximately $5 \times 10^8 \text{ m}^3 (0.5 \text{ km}^3)$ to 8.7×10^8 m³ (0.87 km³). An additional spatial density estimate was made to define the probability of future vent formation on the flanks of Aragats volcano. This model is based on the locations of 27 vents located on the flanks of Aragats volcano (Table 5). This spatial density estimate was used to initialize simulated lava flows originating from flank vents to assess the hazard of largevolume, effusion rate-limited flank lava flows. Since the details of these flank lava flows have been very poorly documented (only 5 have been classified by thickness, volume, and length) an accurate statistical analysis of these parameters was not considered. Rather, values for volume and thickness were randomly selected from those trachyandesite to trachybasalt flank flows that were measured in the field. The configuration parameters for this flank lava flow simulation regime is detailed in Table 6. Approximately 1000 flows were simulated based on a pattern of volcanism defined by the spatial density model shown in Figure 8. These flows required more run-time than the smaller-volume Shamiram flows because of the Connor et al. Journal of Applied Volcanology 2012, 1:3







greater number of grid cells inundated. None of the simulated flows erupted on the flanks resulted in inundation of the ANPP site. The Shamiram Plateau creates an effective topographic barrier to these lava flows diverting drainage of lava west or east of the plateau. Therefore, although impressive in length and volume, the ANPP site is not likely to be inundated by long lava flows emitted from the flanks of Aragats volcano. Since these long lava flows do not represent a credible hazard to the ANPP site, a larger Monte Carlo simulation (greater than 1000 runs) and separate statistical analysis of effusion rate-limited lava flows high on the flanks of Mt. Aragats, was not performed. Two examples of effusion rate-limited flows are diagrammed in Figure 9.

Conclusions

We demonstrate a methodology for site-specific lava flow hazard assessment. This two-stage process uses a Connor *et al. Journal of Applied Volcanology* 2012, **1**:3 http://www.appliedvolc.com/content/1/1/3



thickness, and log(volume) include field observations (gray dots) and computer simulations (red points). Each plot shows results of 10 000 lava flow simulations, generated using the probability distributions shown in Figure 5 and specified in Table 3. Field observations of 20 lava flows are given in Table 1. The largest observed lava flows plot to the right of the gray line, marking >20 km length, just beyond the range of the simulated values. These 5 flows were not considered when determining the parameter ranges for the lava flow simulations because lava flows of this length are effusion-rate limited, associated with very infrequent flank activity, and not found on the Shamiram Plateau. These results show that the volumes, thicknesses, and areal extents of nearly all observed flows fall within the ranges of the simulated values.

Table 5 27 Mapped vents on the flanks of Aragats Volcano

Easting	Northing	Parameter = Value
430920	4485826	Inputs
422295	4488512	$DEM_SOUTH = 4441$
414366	4498480	$DEM_NORTH = 4482$
439898	4478024	$DEM_WEST = 408$
440441	4476970	$DEM_EAST = 448$
425896	4491003	$DEM_SPACING = 0.1$
421407	4471589	$DEM_FILE = file$
418534	4469462	
408119	4495051	$MIN_RESIDUAL = 1$
408990	4481638	$MAX_RESIDUAL = 4$
414068	4471495	
427253	4483296	$ERUPTED_LAVA = 1e6$
424558	4482259	
423136	4480327	$MIN_TOTAL_LAVA = 1$
411159	4469329	MAX_TOTAL_LAVA =
423682	4494414	FLOWS = 1
405800	4477396	DUNG 1000
406683	4476948	RUINS = 1000
418530	4494870	$AOI_WEST = 426.0$
424111	4495248	$AOI_EASI = 428.2$
408363	4492635	$AOI_SOUTH = 4447.8$
415964	4497175	$AOI_NORTH = 4449.0$
422344	4491454	SPATIAL_DENSITY_FIL
428042	4474090	
428225	4474806	
424775	4492714	spacing of spatial den grid (km)
399806	4491891	

The location of 27 volcanic events used in the spatial density analysis of future volcanism on the flanks of Aragats volcano, units are UTM meters. These vent locations determine the closer-to-optimal bandwidth using the SAMSE bandwidth estimation method.

two-dimensional elliptical Gaussian kernel function to estimate spatial density. The SAMSE method, a modified asymptotic mean squared error approach, uses the distribution of known eruptive vents to optimally determine a smoothing bandwidth for the Gaussian kernel function. Potential vent locations (N = 10 000) are stochastically sampled from the resulting spatial density probability map. For each randomly sampled vent location, a lava flow inundation model is executed. Lava flow input parameters (volume and modal thickness) are determined from distributions fit to field observations of the low viscosity trachybasalt to trachydacite lava flows of the area. The areas and flow extents (a quantitative measure of lava flow length) of these simulated lava flows compare reasonably with those of mapped lava flows. This approach yields a conditional probability of lava flow inundation, given the opening of a new vent, and provides a map of vent locations leading to site inundation.

from flank vents

Parameter = Value	Explanation
Inputs	
DEM_SOUTH = 4441	N, S, E, W
DEM_NORTH = 4482	boundaries
DEM_WEST = 408	of the DEM
DEM_EAST = 448	
$DEM_SPACING = 0.1$	DEM grid spacing (km)
$DEM_FILE = file$	(ASCII format) rows of elevation values (masl)
$MIN_RESIDUAL = 1$	Map to observed flow thicknesses (m):
$MAX_RESIDUAL = 4$	1 = 6, 2 = 7, 3 = 8, 4 = 9,
	(lower value = lower lava viscosity)
$ERUPTED_LAVA = 1e6$	Volume of lava distributed
	per iteration or pulse (m^3)
$MIN_TOTAL_LAVA = 1$	Map to observed flow volumes (km ³):
$MAX_TOTAL_LAVA = 3$	$1 = 5 \times 10^8 \ 2 = 5.3 \times 10^8, \ 3 = 8.7 \times 10^8$
FLOWS = 1	Number of lava flows to simulate per run
RUNS = 1000	Number of lava flow runs
$AOI_WEST = 426.0$	Area of interest
$AOI_EAST = 428.2$	
AOI_SOUTH = 4447.8	
$AOI_NORTH = 4449.0$	
SPATIAL_DENSITY_FILE = file	X Y Z format, grid of spatial density
	values for the potential of volcanism
	SPATIAL_DENSITY_SPACING=.1
spacing of spatial density arid (km)	

Configuration file for simulated lava flows from the flanks of Aragats volcano. The format of this ASCII file is parameter = value. The shown values reflect the range of values used for the lava flow simulation for hazard assessment from a flank eruption on Aragats.

Lava flow hazards exist at the ANPP site because potential eruptions on the Shamiram Plateau may produce lava flows that inundate the site. This Monte Carlo analysis has shown that, given the number of relatively small-volume lava flows occurring on the Shamiram Plateau, approximately 25% of all eruptions, resulting from the formation of a new vent, might also produce lava flows that inundate the ANPP site. Although very long and voluminous lava flows occur in the Aragats volcanic system, this analysis demonstrates that these types of flows do not present a credible hazard for the site, as the topography of the Shamiram Plateau would divert such potential flows away from the site area.

An integrated hazard assessment also depends on the estimation of the recurrence rate of effusive volcanism. Assuming a recurrence rate of effusive eruptions on the Shamiram Plateau of 4.1×10^{-7} yr⁻¹ and 3.5×10^{-6} yr⁻¹, based on currently available radiometric age



determinations (Chernyshev et al, 2002), the annual probability of site inundation by renewed effusive volcanism on the Shamiram Plateau is approximately 1.0×10^{-7} to 8.8×10^{-7} .

Methods

Spatial density analysis

The lava flow hazard assessment begins with a spatial density analysis involving the locations of 18 volcanic

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events located on the Shamiram plateau. This analysis will help determine the most likely locations of future volcanic events which will then become the source locations for possible lava flows. These events are listed in Table 2. Using these 18 events an optimal bandwidth is determined using the SAMSE method in the 'ks' package within the statistical program, 'R'. The required 'R' commands are the following:

```
library (ks)
```

vents18 <- read.table ("events_zoom. wgs84.z38.utm") show (vents18) bw_samse_18vents <- Hpi(x = vents18, nstage = 2, pilot="samse", pre="sphere")

show (bw samse 18vents)

where 'ks' is the name of the 'R' package needed to perform the analysis, *vent18* is a local 'R' variable holding vent locations, *vents_18_wgs84.z38.utm* is the input text file containing the vent locations (easting and northing separated by a space), *bw_samse_18vents* is a local 'R' variable holding the output from the 'Hpi' routine, the bandwidth matrix in meters:

[,1] [,2]

[1,] 844328.34 -13235.75

[2,] -13235.75 2113393.17

Spatial density analysis is accomplished using a PERL script (see Additional file 1). Parameters for the script are inserted directly at the top of the script as shown in the following code section:

INPUT SECTION: These variables can be adjusted by the user

This is the complete set of events:

```
# events_zoom.wgs84.z38.utm: N = 18
<425053/430618> <4442102/4454652>
my $west = 420000;
my $east = 436000;
my $south = 4439000;
```

my \$north = 4463000;

my \$Grid spacing = 100;

The bandwidth matrix via SAMSE 2-stage pre-transformation 'sphering'

units = square meters, for 18 events
near ANPP

```
# [,1] [,2]
# [1,] 844328.34 -13235.75
# [2,] -13235.75 2113393.17
# units = square kilometers
```

```
my H = pdl [
```

```
[.84432834, -.01323575],
[-.01323575, 2.11339317]
];
```

The input file of event locations

my \$in = "events_zoom.wgs84.z38.utm";

The output file for the spatial intensity grid

my \$out1 = "spatial_density_samse_events zoom.wgs84.z38.utm.2";

where, *\$north, \$south, \$east, \$west* are the map boundaries in UTM meters, *\$Grid_spacing* is the map grid spacing, units in km, *\$H* is the kernel bandwidth, units converted to km², *\$in* is the name of the input file of volcanic event locations (ASCII format: easting northing), and *\$out1* is the name of the output file of the spatial density grid (ASCII format: easting northing density).

\$H is a matrix and its structure in the script is controlled by the PERL package 'pdl'. The 4 values for the matrix are derived from the output of the 'Hpi' routine (as noted above). To run the script from the command line type:

perl gausXY.pl

where 'gausXY.pl' is the name of the script. All parameters are inserted directly at the top of the script as indicated above.

A second PERL script drives the lava flow simulation (see Additional file 2 and Additional file 3). The inputs for this script are contained in a configuration file. To run the code from the command line type:

perl lava_flow.pl lavaflow.conf 0

where 'lava_flow.pl' is the name of the script, 'lavaflow.conf' is the name of the configuration file, and 0 is the starting run number. Each run of the script simulates one complete lava flow simulation. The total number of simulated lava flows is set in the configuration file. The configuration file parameters are listed in Tables 4 and 6.

The PERL lava flow simulation script produces 3 output files:

lava_flow_stats.# This file is a compilation of all simulated lava flows (where '#' refers to the initial run number). This text file contains 6 columns:

Easting (units = km)

Northing (units = km)

Hit (1 = hit; 0 = miss)

TL (units = cubic meters)

Residual (units = meters)

Total (units = cubic meters)

where *Easting* and *Northing* refer to the location of the erupting vent, *Hit* is either 1 or 0, where 1 means that the lava flow penetrated the area of interest (i.e. the boundary of the site) and 0 indicates that it did not, *TL* is the total volume of erupted lava, *Residual* refers to a flow's modal thickness, and *Total* is also the total volume erupted, but calculated in a different way. The total number of lava flow simulations are recorded.

flow.#.utm This file records the grid location and thickness of lava in each inundated cell (where '#' refers to an individual run number). This text file contains 3 columns: *X Y thickness*, where *X Y* refers to the inundated grid cell, and *thickness* refers to the thickness (m) of lava in that cell. This file is used to calculate the length and area of each simulated lava flow.

vents.utm This text file records the vent location of each lava flow simulation. The file contains two columns: *Easting Northing*.

Additional material

Additional file 1: PERL script that estimates spatial density. This code depends on inputs generated by the SAMSE bandwidth estimation routine from the 'ks' library package as part of the 'R' programming package. This PERL script is an ASCII (text) file that can be viewed with any text editor. It is run from the command line: perl.

Additional file 2: PERL script that simulates volume-limited lava flows from vents on and around the Shamiram Plateau. This lava flow script depends on the output spatial density grid file generated by the above mentioned spatial density script (additional file 1). It is an ASCII file that can be viewed with any text editor. It is run from the command line: perl.

Additional file 3: Perl script that simulates effusion rate-limited lava flows from vents located on the flanks of Aragats. This lava flow script depends on the output spatial density grid file generated by the above mentioned spatial density script (additional file 1). It is an ASCII file that can be viewed with any text editor. It is run from the command line: perl.

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Authors' contributions

LJC wrote spatial density and lava flow inundation computer codes and carried out lava flow simulations. CBC conceived of the study and participated in code development and analysis. LJC and CBC drafted the manuscript. KM and IS mapped lava flows on the Shamiram Plateau, developed the data set on lava flow parameters, and provided related geological and geochemical data. All authors read and approved the final manuscript.

Competing interests

The authors declare that they have no competing interests.

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